

Business Conduct Specialist

Reference	JC-2596
Department	Compliance/Switzerland COO/Conduct Risk
Work time percentage	100%
Location	Geneva
HR contact	https://emea2.softfactors.com/job-opening/rstOnax-RNUkFC9sA7JMDTGnFX#/?lang=en
Date of publication	26.11.2020
Deadline of publication	31.12.2020

Job Description/Main responsibilities

- Undertake second line of defence monitoring activities on Business Conduct topics (covering topics such as LSFIN, MIFID II, Suitability appropriateness, client categorization, distribution of investment products);
- Providing ad hoc advice and support to other business lines and business activities such as Front Office or Investment Department;
- Active monitoring and analysis of relevant regulatory developments and preparation of implementation plans as required (e.g. FIDLEG);
- Monitoring and reporting Business Conduct activities (MiFID II, FIDLEG, etc..) for the Management and Compliance Committees;
- Developing/amending in-house policies and procedures to ensure that EFG Bank AG is complying with the regulations at all times;
- Participation in and coordination of regulatory projects across different departments;
- Managing external and internal audit, and contact with regulators and other authorities as required;
- Preparation and delivery of regular Compliance training courses for employees;
- Replacing Head of Conduct Risk in case of absence or whenever needed.

Skills and experience

- Good knowledge and understanding of the Swiss regulatory framework;
- Strong working knowledge of investment products and services, including collective investment funds, structured products, FX, fixed income, equities and derivatives;
- A University degree or any equivalent experience in economics. CAS Compliance in Financial Services would be a plus;
- Proven practical experience in a similar role in the financial services sector, within banks or other financial institutions;
- Open-minded, proactive, flexible and reliable way of working as well as dedication to provide high quality deliverables within given timeframes;
- Team player with excellent communication skills and a positive personality;
- Excellent oral and written skills in French and/or Italian and English are a requirement, any additional languages would be an advantage.

Our company

EFG Bank is the Swiss private banking subsidiary of EFG International. Headquartered in Zurich, it has an international network spanning Europe, Asia Pacific and Latin America, and manages assets for private clients all over the world. The bank's services comprise asset management and investment counselling, investment funds, securities brokerage, estate planning and a full array of banking services. EFG Bank offers a stimulating and dynamic work environment.

Application

Should you wish to apply for this position, please send your complete job application by email to

<https://emea2.softfactors.com/job-opening/rstOnax-RNUkFC9sA7JMDTGnFX#/?lang=en>

We will only respond to applications with a matching profile.