

Vice President, Compliance

Reference	JC-2295
Department	Compliance
Work time percentage	100%
Location	Hong Kong
HR contact	<i>Janette.wu@efgbank.com</i>
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Main responsibilities

- Designs and Implements the bank's monitoring and surveillance program.
- Supervises and conducts monitoring activities as second line of defence across a number of areas such as suitability, cross-border activities, best execution, trade surveillance etc.
- Assesses the design and operating effectiveness of the program taking into account changes in the business, legal and regulatory environment.
- Responsible for reporting the results of the monitoring to the appropriate management forum for further assessment and action.
- Provides support to general compliance advisory activity.

Skills and experience

- Bachelor's Degree in Business or related field.
- 5+ years' relevant working experience preferably in private banking.
- Relevant working experience in Audit / Compliance.
- Familiarity with regulations.
- Capable of working independently and in a team environment.

Our company

EFG Bank is the Swiss private banking subsidiary of EFG International. Headquartered in Zurich, it has an international network spanning Europe, Asia Pacific and Latin America, and manages assets for private clients all over the world. The bank's services comprise asset management and investment counselling, investment funds, securities brokerage, estate planning and a full array of banking services. EFG Bank offers a stimulating and dynamic work environment.

Application

Should you wish to apply for this position, please send your complete job application by email to

Janette.wu@efgbank.com

We will only respond to applications with a matching profile.